

# Rise up! Programme for Newly Appointed Associate Professors

### Programme objectives

With Rise up!, the Boehringer Ingelheim Foundation aims to provide first-time appointed associate professors at German universities with the leeway to develop an internationally competitive, innovative basic research programme in the biological, chemical, or medical sciences. Rise up! is meant for outstanding scientists with a proven track record and especially bold, creative ideas that could have a transformative impact on their field of research, but who lack flexible core funding to pursue these ideas.

### Extent of funding

You can apply for up to 600,000 euros for a research period of up to 3 years to advance a defined research programme. The funding can be used towards scientific personnel, consumables and project-related equipment, as well as travel and publications.

#### Conditions for applying

If you wish to apply, you must fulfil the following criteria:

- You have accepted the call to an associate (W2) professorship at a German university. In case you have already taken up this position, the start date must be less than 12 months prior to the Rise up! application deadline.
- This is your first call to a tenured or non-tenured associate professorship at W2 level or equivalent (e.g., equivalently equipped positions in Baden-Württemberg).
- You should have substantial international experience.
- Your research focuses on fundamental questions in the biological, medical, and chemical sciences. A clear link to the life sciences is required. The Foundation encourages applications which have the potential to provide novel important insights into fundamental processes. The Foundation does not, however, fund zoological research or systematic botany, for example.
- You propose an especially bold and creative research programme that may have a transformative impact on your research field.
- You have a proven track record and can demonstrate international visibility of your scientific achievements.
- For the proposed research, you are not receiving and are not expecting to receive any
  - institutional funding in addition to the core funding associated with your professorship
  - third-party funding (e.g., ERC grants)

equivalent or above the scope of Rise up! funding.

April 2023 1 / 8

# What cannot be funded by the Rise up! programme?

- Rise up! does not support W2-level research group leaders at non-university research institutions.
- Rise up! does not replace an associate professor's core funding. The conditions and amounts of core funding have to be guaranteed in writing at the time of submission of the Rise up! proposal.

#### Selection procedure

The decision to grant funds is made by the Executive Committee of the Boehringer Ingelheim Foundation based on the recommendations made by the Foundation's Scientific Advisory Board and external peer-reviews. You may recommend potential reviewers. Additionally, you may name (giving reasons) any scientists to whom your proposal should not be presented for evaluation.

# Questions about the programme?

Please contact Dr Sabine Löwer,

tel: +49 (0) 6131 27 508-12, email: funding@bistiftung.de

# The Boehringer Ingelheim Foundation

The Boehringer Ingelheim Foundation is an independent, non-profit organization committed to the promotion of the medical, biological, chemical, and pharmaceutical sciences. It was established in 1977 by Hubertus Liebrecht (1931–1991), a member of the shareholder family of the Boehringer Ingelheim company.

Through its funding programmes Plus 3 and Exploration Grants, as well as Rise up!, the Foundation supports excellent scientists during critical stages of their independent careers. It also endows the international Heinrich Wieland Prize, as well as awards for up-and-coming scientists in Germany. In addition, the Foundation funds institutional projects in Germany, such as the Institute of Molecular Biology (IMB), the department of life sciences at the University of Mainz, and the European Molecular Biology Laboratory (EMBL) in Heidelberg.

#### **Executive Committee of the Foundation**

Christoph Boehringer (Chairman) Entrepreneur, Ingelheim

Prof. Dr Franz-Ulrich Hartl
Max Planck Institute of Biochemistry,
Executive Director, Martinsried

Prof. Dr Jan-Michael Peters Research Institute of Molecular Pathology (IMP), Scientific Director, Vienna, Austria

Otto Boehringer (Honorary Chairman)

Mainz

### **Scientific Advisory Board**

Prof. Dr Ivan Dikic Institute of Biochemistry II, Medical Faculty of Goethe University Frankfurt

Dr Dr Michel Pairet, C.H. Boehringer Sohn AG & Co. KG, Ingelheim

Prof. Dr Britta Siegmund, Division of Gastroenterology, Infectiology und Rheumatology, Charité - Universitätsmedizin, Berlin

Prof. Dr Herbert Waldmann Max Planck Institute of Molecular Physiology, Dortmund

#### **Managing Directors**

Dr Stephan Formella (Science & Research), Marc Wittstock (Finance & Administration) Schusterstrasse 46–48, 55116 Mainz, Germany, tel. +49 (0) 6131 27508-12, <a href="mailto:funding@bistiftung.de">funding@bistiftung.de</a> <a href="mailto:www.boehringer-ingelheim-stiftung.de">www.boehringer-ingelheim-stiftung.de</a>

April 2023 2 / 8

# Rise up! application guidelines

#### Required documents and information

Please submit a paper copy of your application (DIN-A4, not stapled or bound), as well as an electronic version of it, employing separate PDF files for each of the following sections:

- Academic CV, including previously received awards, fellowships and research grants (do not list posters or oral presentations at scientific conferences)
- List of publications
  - Numbered and in the eLife format (including full list of authors, full paper title, journal title, volume, and issue details, page range of article).
  - List all your publications, distinctly indicating first and last authorships. Highlight the five most important papers of your career to date and include a short description of the main findings and their impact on the respective field of research (max. 500 characters, incl. spaces, each).
  - Use separate sections for
    - i. Original articles (published or accepted/in press)
    - ii. Reviews
    - iii. Manuscripts submitted or in revision (do not include manuscripts in preparation)
- Certificates (if not in English or German, include translation)
  - Professorial appointment
  - o Doctoral degree
  - Graduation (MSc, diploma, or equivalent)
- Proposed research programme for up to three years (detailed guidelines below)
- Data consent and declarations (see page 6)
- Written statement by the university regarding the amount of annual core funding and staff
  positions associated with the professorship, as well as any additional institutional research
  funding.
- One copy each of all papers currently in print or submitted for publication, as well as of your five most important published papers (please submit each paper as a separate PDF file, each not larger than 2.5 MB, and all labelled according to the publication list)

# Proposed research programme – detailed guidelines

The proposal – written in English – should consist of no more than 20 pages and should be comprehensible without reading the cited or appended literature. Please adhere to the following order to facilitate the review process:

#### 1. General information

# 1.1. Applicant

- Surname, first name, academic title
- Official position (or accepted position, if not yet started)
- Duration: permanent/tenure-track/fixed-term (please specify incl. dates)
- Institution and department/faculty (full name)
- Work address
- Phone number
- Email address
- Date of birth
- Nationality

April 2023 3 / 8

# 1.2. Funding of your research group

- Please state how your research group has been funded or will be funded from the start date of your professorship. List the (1) resources/funding bodies and (2) funding amounts (including personnel and material resources), as well as (3) their respective durations.
- Include the resources that your university has committed to you in writing for the period covered by your proposal to the Boehringer Ingelheim Foundation, and append a copy of this written statement to your proposal (as listed under "required documents").

# 2. Information about the proposed research programme

### 2.1. Title of project

# 2.2. Subject and area of research

- Subject (e.g., molecular biology, immunology)
- Area of research (e.g., DNA repair)

# 2.3. Proposed beginning and duration of funding

#### 2.4. Summary

Please summarise the hypotheses and the main objectives of your proposed project in generally accessible language and in no more than 2,600 characters.

#### 2.5. State of research

Please describe the current state of knowledge concisely and exclusively in relation to your own research, mentioning the most significant work by other scientists. Make clear how your own work fits into this context, and to which of the open questions in the field you are making a new contribution, and what importance, in your view, it will have on the field.

#### 2.6. Your own preliminary studies

Please describe your preliminary work and results obtained so far, citing the relevant literature. If you have not started your professorship yet or intend to develop a new research programme deviating from your previous work, extensive preliminary results directly linked to the proposed research programme may not exist. In this case, describe the major results of your previous work, including unpublished results.

#### 2.7. Overall objective and specific aims

A brief description of your proposed research programme for the funding period, as well as its overarching goal and specific aims. State which aspects of your proposal are especially innovative and may have a transformative impact on your field of research (and beyond, if applicable).

#### 2.8. Research design and methods

Detailed research plan including milestones, risks, and mitigation strategies.

# 2.9. Cooperation agreements

Please list the scientists (other than those named in sections 3.3. and 4.1.) with whom you are currently cooperating or coordinating your work, or have agreed to do so in the future, as well as the projects or project components concerned in each case.

#### 3. Funds requested

#### 3.1. Total funds requested

Please state the total amount of the funds requested.

#### 3.2. Breakdown of costs

Please list the costs according to the funding period in a table.

April 2023 4 / 8

# 3.3. Personnel expenses

Please specify:

- The number and type of positions (e.g., 1 PhD position, 65 % TVöD E13)
- The proposed periods of employment
- The requested amount of funding per position

Please provide justification of any substantial deviation from the DFG's standard rates.

#### 3.4. Consumables

Please state the amount of funding you expect to need annually for consumables and provide justification of any substantial deviations from the DFG's standard rates.

### 3.5. Other resources

Please specify the purpose and amount of any additional funds that you need. If you are requesting funds exceeding 10,000 euros for individual items of equipment, please provide written justification and recent price quotes.

#### 4. Other information

#### 4.1. Composition of the research group

Please list the members of your research group (names, academic titles, official position, and funding source, including fellowship holders) who are to participate in the proposed research programme (other than those named in section 3.3.).

#### 4.2. Conflicts of interest due to commercial activities

Please inform us of any conflicts of interest e.g., if you are the owner of a commercial enterprise or own a stake in one. In each case, explain how your research project relates to the commercial enterprise's activities.

#### 4.3. Applications submitted to others

Please list any other bodies to which you have submitted a funding application for the present research programme or any part thereof, stating the amount of funding requested.

#### 4.4. Declarations and signature

If no funding application for this research programme or any part thereof has been submitted to any other body, please sign the following declaration:

"No grant application for this research programme or any part thereof has been submitted elsewhere. If I should make such an application, I will immediately notify the Boehringer Ingelheim Foundation that I have done so."

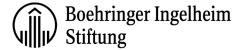
"I hereby declare that all the information given in the entire application is true and accurate."

# Alternatively:

If a funding application for this research programme or any part thereof has been submitted to any other body, please sign the following declaration:

"I hereby declare that all the information given in the entire application is true and accurate."

April 2023 5 / 8



# Data consent and declarations of applicants

Please note that your application cannot be processed unless you grant your consent.

I agree that

- 1. Boehringer Ingelheim Foundation (BIS) processes and stores electronically and in paper form data provided in conjunction with this grant proposal for processing and deciding on the grant application. The data is also forwarded to peer reviewers and foundation committees as part of the evaluation and decision-making process;
- 2. BIS processes and stores my personal data and other data given in the application(s) electronically and in paper form for statistical, internal and scientific evaluations to monitor the quality of the Foundation's selection processes and of its funding programmes and their effects and to monitor the history of its funding and activities. In case external cooperating partners, e.g., scientists, might be involved in such evaluations or studies, it will be ensured that they also comply with all legal requirements;
- 3. information about successful grant applications, including the name of the applicant, the institutions involved and their locations, the amount of funding, the field of activity, general title and a general summary of the research project can be published e.g., for transparency reasons by BIS on its website, in printed materials or other contexts.

I agree that the foregoing consent also includes processing of special categories of personal data referred to in Art. 9 Paragraph 1 GDPR.

I am aware that my personal data may be transmitted to external peer reviewers and/or board members who live in a so-called third country (Art. 44 GDPR), i. e. Australia and USA. In case of absence of an adequacy decision referred to in Art. 45 (3) GDPR or of appropriate safeguards pursuant to Art. 46 GDPR, I however agree that my personal data can be transferred to the above-mentioned third countries. I am aware that – in case of absence of an adequacy decision and appropriate safeguards – such transfers may pose a risk to the security of my personal data (e.g., lower level of security of processing in these third countries).

I am aware that I can revoke or partly revoke the agreement in written form (at least email) with future effect and that in case of revocation processing that has already taken place until the date of my revocation remains unaffected.

I confirm that I have read the common privacy policy for the Plus 3, Exploration Grant, and Rise up! programmes (see following pages).

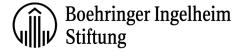
Printed name	Place, date, signature

I obligate myself

- to notify Boehringer Ingelheim Foundation immediately about significant changes to the data given in the application;
- to comply with all laws and regulations relevant to the project and in particular, to obtain any required approvals in due time;
- to use the approved financing solely in the interest of efficiently pursuing the project as described in the application;
- to comply with the rules of good scientific practice according to the DFG's "Proposals for Safe-guarding Good Scientific Practice" (<a href="https://www.dfg.de/en/research\_funding/principles\_dfg\_funding/good\_scientific\_practice/">https://www.dfg.de/en/research\_funding/principles\_dfg\_funding/good\_scientific\_practice/</a>)

Printed name	Place, date, signature

July 2022



# Privacy policy for the funding programmes Plus 3, Exploration Grants, and Rise up!

The Boehringer Ingelheim Foundation (Boehringer Ingelheim Stiftung - BIS) welcomes your interest in the Plus 3, Exploration Grants, and Rise up! funding programmes. Below, we would like to inform you on how we process your personal data in conjunction with your grant proposal for these funding programmes.

This website does not employ cookies.

#### 1. Controller (GDPR, Article 4(7))

The controller – i.e. the body responsible for the processing of your personal data – is the Boehringer Ingelheim Stiftung, (hereinafter referred to as the BIS or the controller). The controller's contact details are:

Boehringer Ingelheim Stiftung

Represented by the Executive Committee: Christoph Boehringer (Chairman), Professor Dr med. Michael P. Manns, Professor Dr Jan-Michael Peters

Schusterstr. 46-48 55116 Mainz Germany

Phone: +49 (0) 6131 / 27 50 8-12 Email: secretariat@bistiftung.de

# 2. Contact address for inquiries concerning data protection

data-protection@bistiftung.de

#### 3. Purpose, legal basis, and provision of data

Boehringer Ingelheim Foundation processes and stores electronically and in paper form data provided in conjunction with your application to assess your eligibility for the respective funding programme and to perform the selection process (multi-level peer-review process). You can find further information on our peer-review process at:

 $\underline{https://www.boehringer-ingelheim-stiftung.de/en/what-we-fund/nationwide-funding/selection-process.html}$ 

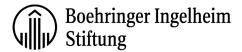
In detail, we will process your data for the following purposes:

- Performing the selection process, management of applicants, documentation of the selection process;
- b) For statistical, internal and scientific evaluations to monitor the quality of BIS' selection processes and of its funding programmes and their effects and to monitor the history of BIS funding and activities. In case external cooperating partners, e.g. scientists, might be involved in such evaluations or studies, it will be ensured that they also comply with all legal requirements;
- c) In case of your acceptance into the programme, we will also process your data to administer the funding.
- d) Publishing information about successful grant applications, including the name of the applicant, the institutions involved and their locations, the amount of funding, the field of activity, general title and a general summary of the research project e.g. for transparency reasons on the BIS website, in printed materials or other contexts.
- e) Contacting fellows and alumni via email or letter to inform about new funding programmes and BIS' activities, to invite fellows and alumni to meetings and to network.

The admissibility of such data processing is primarily pursuant to Article 6(1)(a) of the GDPR, which states that data processing is lawful if you have given your consent to the processing of your personal data for one or several particular purposes. The consent also includes the processing of special categories of personal data (Article 9(1) of the GDPR).

Furthermore, processing of your personal data in conjunction with BIS funding programmes is pursuant to Article 6(1)(b) of the GDPR, which states that data processing is lawful if it is "necessary for the

July 2022



performance of a contract to which the data subject is party or in order to take steps at the request of the data subject prior to entering into a contract." In particular, this concerns the processing purposes mentioned above under a) and c).

The admissibility of such processing is also governed by Article 6(1)(f) of the GDPR, according to which such processing is lawful if it is necessary to safeguard the legitimate interests of the data controller or a third party, except where such interests are overridden by the interests or fundamental rights and freedoms of the data subject which require protection of personal data.

The provision of personal data is also required for the execution of the funding. You are therefore obligated to provide the personal data requested in this context. Without the provision of these data, we cannot decide about your acceptance into the funding programme.

### 4. Recipients of personal data

After receipt of your application, your data will be reviewed by BIS' staff. Eligible applications will then be forwarded to external reviewers and to our Scientific Advisory Board and our Executive Committee. Generally, only those persons have access to your data, who require it for the processing of your application, the decision and the funding process itself.

In the context of the funding programme, your personal data will be forwarded to the following recipients:

- Public authorities, who receive the data on the basis of legal requirements
- Internal bodies, who are involved in the relevant processes (e.g. administrative staff, members of the Scientific Advisory Board)
- Contractors pursuant to Art. 28 of the GDPR
- Contractual and Cooperation partners (e.g. external peer reviewers)

Personal data may be transmitted to external peer reviewers and/or board members who live in a so-called third country (Art. 44 GDPR), i.e. Australia and USA. In case of absence of an adequacy decision referred to in Article 45 (3) of the GDPR or of appropriate safeguards pursuant to Article 46 of the GDPR, such transfers may involve a lower level of security of processing in these third countries.

#### 5. Information according to GDPR, Art. 13(2)

#### **5.1 Duration of storage**

The initial storage of your data takes place upon receipt of your application. The duration of data storage is primarily determined by statutory data storage obligations and our legitimate interest in continuing to store the data. As soon as the data are no longer needed for the above stated purposes, they will be deleted.

#### 5.2 Data subject's rights

You have the following rights: the right to access (GDPR, Article 15), the right to rectification (GDPR, Article 16), the right to erasure (GDPR, Article 17), the right to restriction of processing (GDPR, Article 18), as well as the right to data portability (GDPR, Article 20).

If your personal data are processed on the basis of Article 6(1)(f) of the GDPR, you have the right to object at any time, on grounds relating to your particular circumstances.

#### 5.3 Withdrawal of consent

If you have given the BIS your consent to process your personal data and subsequently withdraw this consent, the lawfulness of any processing of data that has already been performed prior to your withdrawal of consent shall not be affected.

### 5.4 Right to lodge a complaint

You have the right to lodge a complaint with a respective supervisory authority.

July 2022 2 / 2